MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : R56524689 **Service Request Date :** 17/09/2020

Payment made into : State Bank of India

Received From :

Name : BIMAN DEBNATH

Address : C-402, PRASANTI PRIDE,
TARUN NAGAR MAIN LANE, ABC, BHANGAGARH
GUWAHATI, Assam
IN - 781005

Entity on whose behalf money is paid

CIN: U11202AS1993GOI003893

Name : NUMALIGARH REFINERY LTD

Address : 122A, G. S. ROAD
CHRISTIANBASTI
GUWAHATI, Assam
India - 781005

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2020	Normal	600.00
	Total	600.00

Mode of Payment: Internet Banking - State Bank of India

Received Payment Rupees: Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

सत्यमेव जयते

Annual Return

Form language (•) English ( ) Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company: U11202AS1993GOI003893 [Pre-fill]

Global Location Number (GLN) of the company:

* Permanent Account Number (PAN) of the company: AAACN6984B

(ii) (a) Name of the company: NUMALIGARH REFINERY LTD

(b) Registered office address

122A, G. S. ROAD
CHRISTIANBASTI
GUWAHATI
Assam
781005

(c) *e-mail ID of the company: z_compsec@nrl.co.in

(d) *Telephone number with STD code: 03612203147

(e) Website: www.nrl.co.in

(iii) Date of Incorporation: 22/04/1993

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital (•) Yes ( ) No

(vi) *Whether shares listed on recognized Stock Exchange(s) ( ) Yes (•) No

(b) CIN of the Registrar and Transfer Agent: U72200TN1973PTC006412 [Pre-fill]

Name of the Registrar and Transfer Agent

DATA SOFTWARE RESEARCH COMPANY PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

6, SMITH ROAD, MADRAS-26, SMITH ROAD, MADRAS-2 6, SMITH ROAD, MADRAS-2

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ◉ Yes ○ No

(a) If yes, date of AGM 10/08/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted ○ Yes ◉ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C5	Coke and refined petroleum products	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 5 Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	BHARAT PETROLEUM CORPOR	L23220MH1952GOI008931	Holding	61.65
2	DNP LIMITED	U51410AS2007SGC008410	Associate	26
3	BRAHMAPUTRA CRACKER ANE	U11101AS2007GOI008290	Joint Venture	10
4	ASSAM BIO REFINERY PRIVATE	U74999AS2018PTC018547	Associate	50
5	INDRADHANUSH GAS GRID LIN	U40300AS2018GOI018660	Associate	20

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5,000,000,000	735,631,544	735,631,544	735,631,544
Total amount of equity shares (in Rupees)	50,000,000,000	7,356,315,440	7,356,315,440	7,356,315,440

Number of classes: 1

Class of Shares Equity Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	5,000,000,000	735,631,544	735,631,544	735,631,544
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50,000,000,000	7,356,315,440	7,356,315,440	7,356,315,440

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes: 0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	735,631,544	7,356,315,440	7,356,315,440	

Increase during the year	0	0	0	0
i. Pubic Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify NA	0	0	0	
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify NA	0	0	0	
At the end of the year	735,631,544	7,356,315,440	7,356,315,440	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify NA	0	0	0	
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify NA	0	0	0	
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares) 0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media] ○ Yes ◉ No ○ Not Applicable

Separate sheet attached for details of transfers ◉ Yes ○ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	16/08/2019		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee	

Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	

Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor	

Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee	

Transferee's Name			
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			686,013,088
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			51,763,904.32
Deposit			0
Total			737,776,992.32

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover: 140,726,600,000

(ii) Net worth of the Company: 53,043,800,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference

		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	90,821,337	12.35	0	
	(iii) Government companies	453,545,964	61.65	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Nominee of Promoters BCPL & G	41	0	0	
	Total	544,367,342	74	0	0

Total number of shareholders (promoters) 9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	191,264,202	26	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others NA	0	0	0	
	Total	191,264,202	26	0	0

Total number of shareholders (other than promoters) 1

Total number of shareholders (Promoters+Public/ Other than promoters) 10

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	1	1
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	2	0	2	0	0
B. Non-Promoter	3	4	3	5	0	0
(i) Non-Independent	3	2	3	2	0	0
(ii) Independent	0	2	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	6	3	7	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SAUMENDRA KUMAR	06503943	Managing Director	0	
BHASKAR JYOTI PHUI	07721895	Whole-time directo	0	
INDRANIL MITTRA	06883068	Whole-time directo	0	
RAJKUMAR DURAISW	00872597	Director	0	01/09/2020
RAJENDRA KUMAR KU	05149486	Director	0	
KRISHNA KUMAR DWI	07632374	Director appointed	7	
SUSHIL CHANDRA MIS	08490095	Director appointed	0	
SNEH LATA KUMAR	01499020	Director	0	
SYLVANUS LAMARE	08511474	Director	0	
JASWANT SINGH SAIN	08596048	Additional director	0	
HAMONTA KUMAR SA	AEXPS3934A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year 9

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SUSHIL CHANDRA MIS	08490095	Director appointed	04/11/2019	APPOINTMENT
UTPOL BORA	07567357	Director	01/10/2019	CESSATION
RAVI CAPOOR	00744987	Director	01/08/2019	CESSATION
KRISHNA KUMAR DWI	07632374	Director appointed	16/08/2019	APPOINTMENT
RAJENDRA KUMAR KU	05149486	Director	16/08/2019	CHANGE IN DESIGNATION
SYLVANUS LAMARE	08511474	Additional director	16/07/2019	APPOINTMENT
JASWANT SINGH SAIN	08596048	Additional director	29/11/2019	APPOINTMENT
SYLVANUS LAMARE	08511474	Director	16/08/2019	CHANGE IN DESIGNATION
RAJ KUMAR SHARMA	01681944	Director	31/01/2020	CESSATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held: 1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
26th AGM	16/08/2019	10	6	100

B. BOARD MEETINGS

*Number of meetings held: 9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/04/2019	9	8	88.89
2	09/05/2019	9	7	77.78
3	11/06/2019	9	8	88.89
4	24/07/2019	10	8	80

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
5	16/08/2019	9	8	88.89
6	04/11/2019	9	9	100
7	07/01/2020	11	9	81.82
8	05/02/2020	10	6	60
9	20/02/2020	10	9	90

C. COMMITTEE MEETINGS

Number of meetings held: 12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	09/05/2019	3	3	100
2	AUDIT COMM	11/06/2019	3	3	100
3	AUDIT COMM	24/07/2019	3	3	100
4	AUDIT COMM	08/08/2019	3	3	100
5	AUDIT COMM	10/09/2019	3	3	100
6	AUDIT COMM	04/11/2019	3	3	100
7	AUDIT COMM	28/01/2020	3	3	100
8	CSR and Sust	31/05/2019	3	2	66.67
9	CSR and Sust	21/08/2019	3	3	100
10	CSR and Sust	21/11/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	10/08/2020 (Y/N/NA)
1	SAUMENDRA	9	9	100	0	0	0	Yes

2	BHASKAR JY	9	9	100	12	12	100	Yes
3	INDRANIL MI	9	9	100	5	4	80	Yes
4	RAJKUMAR D	9	9	100	0	0	0	Yes
5	RAJENDRA K	9	9	100	0	0	0	Yes
6	KRISHNA KUI	5	3	60	0	0	0	Yes
7	SUSHIL CHAI	3	1	33.33	0	0	0	No
8	SNEH LATA K	9	9	100	7	7	100	Yes
9	SYLVANUS L	6	5	83.33	1	1	100	Yes
10	JASWANT SI	3	2	66.67	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered: 3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SAUMENDRA KUM	Managing Direct	7,784,873.29	0	0	0	7,784,873.29
2	BHASKAR JYOTI P	Wholetime Direc	7,244,861.22	0	0	0	7,244,861.22
3	INDRANIL MITTRA	Wholetime Direc	5,510,053.68	0	0	0	5,510,053.68
	Total		20,539,788.19	0	0	0	20,539,788.19

Number of CEO, CFO and Company secretary whose remuneration details to be entered: 1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	HAMONTA KUMAR	Company Secre	5,840,987.19	0	0	0	5,840,987.19
	Total		5,840,987.19	0	0	0	5,840,987.19

Number of other directors whose remuneration details to be entered: 4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAJKUMAR SHARI	Director	0	0	0	720,000	720,000
2	SNEH LATA KUMA	Director	0	0	0	800,000	800,000
3	SYLVANUS LAMAF	Director	0	0	0	240,000	240,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
4	JASWANT SINGH S	Director	0	0	0	80,000	80,000
	Total		0	0	0	1,840,000	1,840,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

◉ Yes ○ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

◉ Yes ○ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name: BIMAN DEBNATH

Whether associate or fellow: ○ Associate ◉ Fellow

Certificate of practice number: 5857

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. A:9 dated 22/05/2015 (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director: SAUMENDRA KUMAR BARUA (Digitally signed by SAUMENDRA KUMAR BARUA Date: 2020.09.17 10:34:51 +05'30')

DIN of the director: 06503943

To be digitally signed by HAMONTA KUMAR SARMAH (Digitally signed by HAMONTA KUMAR SARMAH Date: 2020.09.17 10:35:02 +05'30')

(●) Company Secretary

( ) Company secretary in practice

Membership number: 9416 Certificate of practice number:

Attachments

1. List of share holders, debenture holders — **Attach**
2. Approval letter for extension of AGM; — **Attach**
3. Copy of MGT-8; — **Attach**
4. Optional Attachement(s), if any — **Attach**

List of attachments

Share Holder list.pdf
Share transfer list.pdf
UDIN.pdf
MGT-8.pdf

Remove attachment

Modify | Check Form | Prescrutiny | Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

NUMALIGARH REFINERY LIMITED

Regd Office: 122A, G. S. Road, Christian Basti, Guwahati-781005

CIN: U11202AS1993GOI003893

SHAREHOLDING POSITION & LIST OF SHAREHOLDERS AS ON 31.03.2020

1. Authorised Share capital : ₹5000,00,00,000/-
2. Paid –up Share capital : ₹ 735,63,15,440/-
3. Face Value of Share : ₹10/- each
4. Paid-up value per Share : ₹10/- each

Sl. No.	Name of Shareholder	Folio No.	No. of Shares held in Physical form	No. of Shares held in Demat	Total no. of shares	Paid-up capital (In ₹.)	% of Holding
1.	Bharat Petroleum Corporation Limited	014	45,35,45,964	Nil	45,35,45,964	453,54,59,640/-	61.65
2.	Governor of Assam	002	9,08,21,337	Nil	9,08,21,337	90,82,13,370/-	12.35
3.	Oil India Limited	020 (IN30133020036047)	Nil	19,12,64,202	19,12,64,202	191,26,42,020/-	26.00
4.	Shri Sukhmal Jain jointly with Bharat Petroleum Corporation Limited	063	08	Nil	08	80/-	Negligible
5.	Shri Sanjay Khanna jointly with Bharat Petroleum Corporation Limited	064	08	Nil	08	80/-	Do
6.	Shri Neelakanta Pillai Vijayagopal jointly with Bharat Petroleum Corporation Limited	065	08	Nil	08	80/-	Do
7.	Shri Pankaj Das jointly with Bharat Petroleum Corporation Limited	066	01	Nil	01	10/-	Do
8.	Shri Sunil Kumar Agrawal jointly with Bharat Petroleum Corporation Limited	067	01	Nil	01	10/-	Do
9.	Dr. K. K. Dwivedi (Nominee of Govt. of Assam)	068	07	Nil	07	70/-	Do
10.	Mrs. Sujata Chogle jointly with Bharat Petroleum Corporation Limited	069	08	Nil	08	80/-	Do
	Total	=	**54,43,67,342**	**19,12,64,202**	**73,56,31,544**	**735,63,15,440/-**	**100.00**

Numaligarh Refinery Limited Guwahati

(एच.के.शर्मा/H. K. Sarmah)
कंपनी सचिव/Company Secretary
नुमालीगढ़ रिफाइनरी लिमिटेड / Numaligarh Refinery Ltd.
122 ए, जी. एस. रोड क्रिश्चनबस्ती, गुवाहाटी-5
122A, G.S. Road, Christianbasti, Guwahati-5

NUMALIGARH REFINERY LIMITED
Regd Office: 122A, G. S. Road, Christian Basti, Guwahati-781005
CIN: U11202AS1993GOI003893

Details of transfer of shares from 1.04.2019 to 31.03.2020

Date of transfer	Name of transferor	Folio No.	No. of shares	Share Certificate No.	Dist. Nos.	Name of Transferee	Folio No.	No. of shares	Share Certificate No
20/09/2019	Shri Ravi Capoor	060	07	007	94-100	Dr. K. K. Dwivedi	068	07	007
15/10/2019	Shri S. K. Agrawal jointly Bharat Petroleum Corporation Limited	057	08	003	62-69	Mrs. Sujata Chogle jointly with Bharat Petroleum Corporation Ltd.	069	08	003

Numaligarh Refinery Limited Guwahati

(एच.के.शर्मा/H. K. Sarmah)
कंपनी सचिव/Company Secretary
नुमालीगड़ रिफाइनरी लिमिटेड / Numaligarh Refinery Ltd.
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122A, G.S. Road, Christianbasti, Guwahati-5

BIMAN DEBNATH & ASSOCIATES
Company Secretaries

BIMAN DEBNATH
B. Com., LLB, DTL. FCS.
Flat No- C-402, Prasanti Pride,
Prakash Choudhury Housing Complex,
Tarun Nagar, Main Lane, ABC,
Guwahati-781005, Assam, India
Tele: 9365313716 (O)
9864028145(M),8472815679(M)
Email:csbiman@gmail.com
csbimandebnath@gmail.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **M/s NUMALIGARH REFINERY LTD** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31st March, 2020**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

i. its status under the Act;

ii. maintenance of registers/records & making entries therein within the time prescribed therefore;

iii. filing of forms and returns as stated in the annual return with the Registrar of Companies, ~~Regional Director,~~ Central Government, ~~the Tribunal, Court~~ or other authorities within time.

iv. calling/ convening/ holding meetings of Board of Directors, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minutes Book/Registers maintained for the purpose and the same have been signed;

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v. closure of Register of Members;

~~vi. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;~~

vii. contracts/arrangements with related parties as specified in section 188 of the Act;

~~viii. allotment of shares and issue of share certificates;~~

~~ix. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;~~

x. declaration and payment of dividend;

xi. signing of audited financial statement as per the provisions of section 134 of the Act and Report of Directors' is as per sub - sections (3), (4) and (5) thereof;

xii. constitution/appointment/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

xiii. appointment of auditors as per the provisions of section 139 of the Act;

~~xiv.~~ approvals required to be taken from the ~~Central Government and~~ Registrar of Companies ~~under the various provisions of the Act;~~

~~xv. acceptance/ renewal/ repayment of deposits;~~

~~xvi. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;~~

xvii. investments or guarantees given to other bodies corporate or persons falling under the provisions of section 186 of the Act ;

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xviii. alteration of the provisions of the Memorandum of Association of the Company;

On 16/08/2019 the Company has increased its authorized share capital of the Company from Rs. 1000,00,00,000 (Rupees one Thousand crore) divided into 100,00,00,000 (One Hundred Crore) equity shares of Rs. 10/- (Rupees Ten) each to Rs. 5000,00,00,000/- (Rupees Five Thousand crore) divided into 500,00,00,000 (Five Hundred crore) Equity shares of Rs. 10/- (Rupees Ten) each by the creation of additional capital of Rs. 4000,00,00,000/- (Rupees Four Thousand crore) divided into 400,00,00,000 (Four Hundred crore) Equity shares of Rs. 10/- (Rupees Ten) each and necessary forms has been filed with the Registrar of Companies.

Note: The provisions which are not applicable have been struck off.

BIMAN DEBNATH
Digitally signed by BIMAN DEBNATH
Date: 2020.09.17 18:34:38 +05'30'

Signature:
Name of Company Secretary in practice: Biman Debnath
C.P.No. : 5857
UDIN: F006717B000727822

Date: 17/09/2020
Place: Guwahati